SENATE BILL 5940

State of Washington 60th Legislature 2007 Regular Session

By Senators Kline, Benton, Kohl-Welles, Roach, Keiser, Zarelli, Pridemore and Rasmussen

Read first time 02/07/2007. Referred to Committee on Labor, Commerce, Research & Development.

- 1 AN ACT Relating to prohibiting the use of state funds provided for
- 2 long-term care services from being used to assist, promote, or deter
- 3 union organization; amending RCW 74.39A.007, 74.39A.080, 70.128.160,
- 4 and 74.42.580; and adding a new section to chapter 74.39A RCW.
- 5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 6 **Sec. 1.** RCW 74.39A.007 and 1993 c 508 s 2 are each amended to read 7 as follows:
 - It is the legislature's intent that:

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- 9 (1) Long-term care services administered by the department of 10 social and health services include a balanced array of health, social, 11 and supportive services that promote individual choice, dignity, and 12 the highest practicable level of independence;
- 13 (2) Home and community-based services be developed, expanded, or 14 maintained in order to meet the needs of consumers and to maximize 15 effective use of limited resources;
- 16 (3) Long-term care services be responsive and appropriate to 17 individual need and also cost-effective for the state;
- 18 (4) Nursing home care is provided in such a manner and in such an

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environment as will promote maintenance or enhancement of the quality of life of each resident and timely discharge to a less restrictive care setting when appropriate; ((and))

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- (5) State health planning for nursing home bed supply take into account increased availability of other home and community-based service options; and
- 7 (6) No employer, provider, or entity receiving state funds to 8 provide long-term care services use these funds to assist, promote, or 9 deter union organization.
- NEW SECTION. Sec. 2. A new section is added to chapter 74.39A RCW to read as follows:
- 12 (1) Any employer, provider, or other entity that receives state 13 funds to provide long-term care services shall not use those funds for 14 the following purposes:
 - (a) To train managers, supervisors, or other administrative personnel regarding methods to encourage or discourage union organization or to encourage or discourage an employee from participating in a union organizing drive;
 - (b) To hire or otherwise compensate attorneys, consultants, or other contractors to encourage or discourage union organization or to encourage or discourage an employee from participating in a union organizing drive; or
 - (c) To hire employees or pay the salary or other compensation of employees whose principal job duties are to encourage or discourage union organization or to encourage or discourage an employee from participating in a union drive.
 - (2) Any employer, provider, or other entity receiving state funds to provide long-term care services that engages in activities listed in subsection (1) of this section shall maintain, for not less than three years from the date those activities occurred, financial records indicating that state funds were not used in violation of subsection (1) of this section. An employer, provider, or other entity shall make these financial records available to the department within twenty business days of receiving a request from the department for the documents.

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- Sec. 3. RCW 74.39A.080 and 2001 c 193 s 3 are each amended to read as follows:
 - (1) The department is authorized to take one or more of the actions listed in subsection (2) of this section in any case in which the department finds that a provider of assisted living services, adult residential care services, or enhanced adult residential care services has:
- 8 (a) Failed or refused to comply with the requirements of this 9 chapter or the rules adopted under this chapter;
 - (b) Operated without a license or under a revoked license;
- 11 (c) Knowingly, or with reason to know, made a false statement of 12 material fact on his or her application for license or any data 13 attached thereto, or in any matter under investigation by the 14 department; ((or))
- 15 (d) Willfully prevented or interfered with any inspection or 16 investigation by the department; or
 - (e) Violated any of the provisions of section 2(1) of this act.
 - (2) When authorized by subsection (1) of this section, the department may take one or more of the following actions:
 - (a) Refuse to issue a contract;

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- 21 (b) Impose reasonable conditions on a contract, such as correction 22 within a specified time, training, and limits on the type of clients 23 the provider may admit or serve;
 - (c) Impose civil penalties of not more than one hundred dollars per day per violation;
 - (d) Suspend, revoke, or refuse to renew a contract; or
- (e) Suspend admissions to the facility by imposing stop placement on contracted services.
 - (3) When the department orders stop placement, the facility shall not admit any person admitted by contract until the stop placement order is terminated. The department may approve readmission of a resident to the facility from a hospital or nursing home during the stop placement. The department shall terminate the stop placement when: (a) The violations necessitating the stop placement have been corrected; and (b) the provider exhibits the capacity to maintain correction of the violations previously found deficient. However, if upon the revisit the department finds new violations that the

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department reasonably believes will result in a new stop placement, the previous stop placement shall remain in effect until the new stop placement is imposed.

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After a department finding of a violation for which a stop 4 5 placement has been imposed, the department shall make an on-site revisit of the provider within fifteen working days from the request 6 7 for revisit, to ensure correction of the violation. For violations that are serious or recurring or uncorrected following a previous 8 citation, and create actual or threatened harm to one or more 9 residents' well-being, including violations of residents' rights, the 10 department shall make an on-site revisit as soon as appropriate to 11 ensure correction of the violation. Verification of correction of all 12 13 other violations may be made by either a department on-site revisit or 14 by written or photographic documentation found by the department to be This subsection does not prevent the department from 15 credible. enforcing license suspensions or revocations. Nothing 16 subsection shall interfere with or diminish the department's authority 17 and duty to ensure that the provider adequately cares for residents, 18 including to make departmental on-site revisits as needed to ensure 19 that the provider protects residents, and to enforce compliance with 20 21 this chapter.

- (4) Chapter 34.05 RCW applies to department actions under this section, except that orders of the department imposing contracts suspension, stop placement, or conditions for continuation of a contract are effective immediately upon notice and shall continue pending any hearing.
- 27 **Sec. 4.** RCW 70.128.160 and 2001 c 193 s 5 are each amended to read 28 as follows:
- 29 (1) The department is authorized to take one or more of the actions 30 listed in subsection (2) of this section in any case in which the 31 department finds that an adult family home provider has:
 - (a) Failed or refused to comply with the requirements of this chapter or the rules adopted under this chapter;
- 34 (b) Operated an adult family home without a license or under a 35 revoked license;
- 36 (c) Knowingly or with reason to know made a false statement of

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- material fact on his or her application for license or any data attached thereto, or in any matter under investigation by the department; ((or))
 - (d) Willfully prevented or interfered with any inspection or investigation by the department; or
 - (e) Violated any of the provisions of section 2(1) of this act.
 - (2) When authorized by subsection (1) of this section, the department may take one or more of the following actions:
 - (a) Refuse to issue a license;

- (b) Impose reasonable conditions on a license, such as correction within a specified time, training, and limits on the type of clients the provider may admit or serve;
- 13 (c) Impose civil penalties of not more than one hundred dollars per 14 day per violation;
 - (d) Suspend, revoke, or refuse to renew a license; or
- 16 (e) Suspend admissions to the adult family home by imposing stop placement.
 - (3) When the department orders stop placement, the facility shall not admit any person until the stop placement order is terminated. The department may approve readmission of a resident to the facility from a hospital or nursing home during the stop placement. The department shall terminate the stop placement when: (a) The violations necessitating the stop placement have been corrected; and (b) the provider exhibits the capacity to maintain correction of the violations previously found deficient. However, if upon the revisit the department finds new violations that the department reasonably believes will result in a new stop placement, the previous stop placement shall remain in effect until the new stop placement is imposed.
 - (4) After a department finding of a violation for which a stop placement has been imposed, the department shall make an on-site revisit of the provider within fifteen working days from the request for revisit, to ensure correction of the violation. For violations that are serious or recurring or uncorrected following a previous citation, and create actual or threatened harm to one or more residents' well-being, including violations of residents' rights, the department shall make an on-site revisit as soon as appropriate to ensure correction of the violation. Verification of correction of all other violations may be made by either a department on-site revisit or

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- by written or photographic documentation found by the department to be 1 2 This subsection does not prevent the department from enforcing license suspensions or revocations. 3 Nothing in this subsection shall interfere with or diminish the department's authority 4 and duty to ensure that the provider adequately cares for residents, 5 including to make departmental on-site revisits as needed to ensure 6 7 that the provider protects residents, and to enforce compliance with 8 this chapter.
 - (5) Chapter 34.05 RCW applies to department actions under this section, except that orders of the department imposing license suspension, stop placement, or conditions for continuation of a license are effective immediately upon notice and shall continue in effect pending any hearing.

14 **Sec. 5.** RCW 74.42.580 and 1989 c 372 s 13 are each amended to read 15 as follows:

The department may deny, suspend, revoke, or refuse to renew a license or provisional license, assess monetary penalties of a civil nature, deny payment, seek receivership, order stop placement, appoint temporary management, order emergency closure, or order emergency transfer as provided in RCW 18.51.054 and 18.51.060 for violations of requirements of this chapter, violations of section 2(1) of this act, or, in the case of medicaid contractors, the requirements of Title XIX of the social security act, as amended, or rules adopted thereunder. Chapter 34.05 RCW shall apply to any such actions, except for receivership, and except that stop placement, appointment of temporary management, emergency closure, emergency transfer, and summary license suspension shall be effective pending any hearing, and except that denial of payment shall be effective pending any hearing when the department determines deficiencies jeopardize the health and safety of the residents or seriously limit the nursing home's capacity to provide adequate care.

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